

UNITED STATES DISTRICT COURT
WESTERN DISTRICT OF WASHINGTON
AT TACOMA

ESTATE OF DEREK XUE, JIAN XIONG
XUE & MING FENG ZHONG,

Plaintiffs,

v.

ARAMARK CORPORATION, a Delaware
Corporation,

Defendant.

Case No. C06-5667RJB

ORDER ON DEFENDANT
ARAMARK CORP.'S
MOTION FOR
JUDGMENT ON THE
PLEADINGS

This matter comes before the court on Defendant ARAMARK Corp.'s Motion for Judgment on the Pleadings. Dkt. 10. The court has considered the pleadings filed in support of and in opposition to the motion and the file herein.

PROCEDURAL HISTORY

On Saturday, September 23, 2003, Derek Xue drowned in a swimming pool on the premises of lake Quinault Lodge, while a guest of that facility. Dkt. 10. Defendant ARAMARK Corporation contends that Lake Quinault Lodge, a vacation resort located inside the Olympic National Forest in Grays Harbor County, is operated by Aramark Sports & Entertainment Services, Inc., through a concession agreement with the U.S. Forest Service. Dkt. 12, at 3. On the Washington State Department of Revenue State Business Records Database, ARAMARK SPORTS & ENTERTAINMENT SERVICES INC is identified as doing business as LAKE QUINAULT LODGE. Dkt. 17, at 5. According to Charles J. Reitmeyer, Vice President and Associate General Counsel for Risk Management, Antitrust and Litigation in the "Aramark

1 Legal Department,” ARAMARK Corporation, a Delaware Corporation that has its principal place of
2 business in Philadelphia, Pennsylvania, is a holding company; ARAMARK Corporation is not incorporated
3 in and does not do business in the state of Washington; Aramark Sports & Entertainment Services, Inc. is a
4 distinct corporation from both ARAMARK Corporation and Aramark Sports and Entertainment Group;
5 Aramark Sports & Entertainment Services, Inc. and Aramark Sports and Entertainment Group are
6 corporate entities that are separate and distinct from each other; and Aramark Sports and Entertainment
7 Group does not operate the Quinault Lodge. Dkt. 12, at 1-2. The parties apparently agree that Aramark
8 Sports & Entertainment Services, Inc. is a wholly-owned subsidiary of ARAMARK Corporation. Dkt. 10,
9 at 2; Dkt. 13, at 3.

10 On February 17, 2004, plaintiffs’ counsel sent a letter addressed to the following:

11 Lake Quinault Lodge
12 Risk Box 7
Quinault, WA 98575-0007

13 Dkt. 17, at 6. Plaintiffs’ counsel requested that the letter be forwarded “to your insurance company or to
14 the appropriate individual so that we can discuss this matter prior to litigation.” *Id.*

15 On March 15, 2004, a letter was sent to plaintiff’s counsel, as follows:

16 Re: Estate of Derek Xue
ARAMARK Sports & Entertainment Services, Inc. (ASESI)
17 Drowning: September 23, 2003
18 Claim #: CCW005330

19 Dear Mr. Pak:

20 Specialty Risk Services, LLC, administers general liability claims for ASES. They have forwarded
your letter of representation of February 17, 2004, and designated this office to work with you on
21 this matter prior to any litigation.

22 We look forward to working with you to resolve the estate’s concerns arising out of this tragic
incident.

23 SPECIALTY RISK SERVICES, LLC.

24 Jerry Stein, CPCU
25 Liability Supervisor

26 Dkt. 17, at 7.

27 On September 25, 2006, plaintiffs filed suit in Grays Harbor Superior Court, naming ARAMARK
28 Corporation as the sole defendant. Dkt. 11, at 4. Although plaintiffs’ attorney Hyon Pak’s name is typed

1 below a signature line, the complaint was unsigned. *Id.* at 9. The complaint was “[d]ated this 22nd day of
2 September, 2006.” *Id.* Plaintiffs contend that their counsel had sent to the Grays Harbor Superior Court
3 the original complaint and a conformed copy of the complaint, but mistakenly signed the conformed copy
4 instead of the original. *See* Dkt. 14, at 8-13. Accordingly, plaintiffs claim that the unsigned copy was filed
5 in error as the original complaint, and the signed original was returned to plaintiff’s counsel.

6 On November 2, 2006, plaintiffs served CT Corporation with a summons and complaint. Dkt. 11,
7 at 10. Plaintiff contends that CT Corporation is a registered agent for Aramark Sports & Entertainment
8 Services, Inc. Dkt. 14, at 2. Plaintiff maintains that CT Corporation informed him that it does not accept
9 service of process on individuals and entities that are not on its client list. *Id.* Apparently, because
10 ARAMARK Corporation does not do business in the state of Washington, it is not on CT Corporation’s
11 client list. The complaint served on November 2, 2006 on CT Corporation differed from the complaint filed
12 in Thurston County Superior Court in that the cause number had been typed onto the complaint, and the
13 complaint was signed. Dkt. 11, at 13 and 18. The caption on the summons served on CT Corporation on
14 November 2, 2006 identifies the matter as “The Estate of Derek Xue, Jian Xiong Xue, and Ming Feng
15 Zhong, Plaintiff v. ARAMARK Corporation, a Delaware Corporation. Defendant.” Dkt. 11, at 11. In
16 addition, below the typewritten name of the defendant, a notation was written as follows: “AKA Aramark
17 Sports & Entertainment Group.” *Id.*

18 In a declaration, Charles C. Huber, one of ARAMARK Corporation’s attorneys, stated that, on
19 November 8, 2006, he spoke with plaintiffs’ counsel. Dkt. 11, at 1-2. Mr. Huber stated that, during the
20 call, he indicated to plaintiffs’ counsel that the summons that had been served on CT Corporation did not
21 match the complaint that had been served on CT Corporation because someone had written in additional
22 verbiage on the summons that did not appear on the complaint. Dkt. 11, at 2. Mr. Huber stated that he
23 informed plaintiffs’ counsel that the complaint that had been filed in the superior court was not signed. *Id.*
24 Mr. Huber stated that he told plaintiffs’ counsel that this seemed like irregular process and that the issue
25 would be investigated further. *Id.*

26 On November 16, 2006, the case was removed to federal court under 28 U.S.C. § 1441, on the
27 basis of diversity jurisdiction. Dkt. 1. On November 20, 2006, defendant ARAMARK Corporation filed
28 an answer, admitting “that it operated the Lake Quinault Lodge in Washington.” Dkt. 5, at 1.

1 On November 21, 2006, after the case was removed to federal court, plaintiffs filed an Amended
2 Summons and Complaint in Grays Harbor Superior Court. Dkt. 14, at 17-20 and Dkt. 14-2, at 1-5. The
3 amended complaint is signed by plaintiffs' counsel. The defendant is identified as follows: ARAMARK
4 SPORTS AND ENTERTAINMENT SERVICES, INC., a wholly owned subsidiary of ARAMARK
5 CORPORATION. [sic] a Delaware Corporation. Dkt. 14, at 17.

6 MOTION FOR JUDGMENT ON THE PLEADINGS

7 On March 28, 2007, ARAMARK Corporation filed a motion for judgment on the pleadings, which
8 ARAMARK stated would be treated as a motion for summary judgment because the motion is supported
9 by declarations. Dkt. 10. ARAMARK contends that (1) the action should be dismissed because it was not
10 properly commenced within the statute of limitations; and (2) the action should be dismissed because
11 plaintiffs did not sue the correct entity. Dkt. 10.

12 The motion for judgment on the pleadings was properly noted for consideration on April 20, 2007.
13 Dkt. 10. On April 19, 2007, plaintiffs filed a late response to the motion. Dkt. 13. Plaintiffs contend that
14 the suit was commenced within the statute of limitations period because (1) even though the complaint filed
15 On September 25, 2006 was not signed, defendant was given a signed copy of the summons and complaint;
16 (2) the summons and complaint filed and served were intended for the operators of Lake Quinault Lodge;
17 (3) any defect in the named defendant was cured when Aramark Sports and Entertainment Services Group,
18 Inc. was served with a summons and copy of the amended complaint on November 22, 2006; and (4)
19 Plaintiffs would have discovered the error in the name of the proper defendant if CT Corporation had not
20 accepted service of process on behalf of Aramark Sports and Entertainment Services Group. Dkt. 13.
21 Plaintiffs' arguments show that they are still unclear as to the name of the proper defendant, referring
22 variously to Aramark Sports and Entertainment Services Group, Inc.; Aramark Sports and Entertainment
23 Services Group; and Aramark Sports and Entertainment Services, Inc. Nonetheless, plaintiffs request that
24 the court permit plaintiffs to cure the defect in the original complaint by signing the unsigned original
25 complaint, and by permitting plaintiffs to amend the pleadings to properly reflect the correct name of the
26 defendant. Dkt. 13, at 5.

27 On April 23, 2007, defendant filed a reply. Dkt. 16. Defendant requests that the court strike
28 plaintiffs' response because it was untimely filed. In addition, defendant contends that (1) plaintiffs'

1 unsigned pleading, filed on September 25, 2006, is without legal effect and did not properly commence an
2 action within the limitations period; (2) the amended complaint filed on November 21, 2006 and served on
3 November 22, 2006 did not cure the service defects because plaintiffs did not obtain leave of court before
4 filing an amended complaint, and because plaintiffs filed the amended complaint in state court after the case
5 had been removed from federal court; (3) plaintiffs cannot rely on Fed.R.Civ.P. 11 to toll the statute of
6 limitations; and (4) plaintiffs cannot rely on the relation back doctrine governed by Fed.R.Civ.P. 15(c)
7 because plaintiffs' failure to name the proper defendant was not due to inexcusable neglect and because
8 there was nothing to relate back to, since the original complaint was not signed. Dkt. 16.

9 LEGAL STANDARD

10 Fed.R.Civ.P. 12(c) provides as follows:

11 **(c) Motion for Judgment on the Pleadings.** After the pleadings are closed but within such time
12 as not to delay the trial, any party may move for judgment on the pleadings. If, on a motion for
13 judgment on the pleadings, matters outside the pleadings are presented to and not excluded by the
14 court, the motion shall be treated as one for summary judgment and disposed of as provided in Rule
15 56, and all parties shall be given reasonable opportunity to present all material made pertinent to
16 such motion by Rule 56.

17 A party that has been notified that the court is considering material beyond the pleadings has
18 received effective notice of the conversion to summary judgment. *See Grove v. Mead Sch. Dist. No. 354*,
19 753 F.2d 1528, 1533 (9th Cir.), *cert. denied*, 474 U.S. 826 (1985); *Townsend v. Columbia Operations*,
20 667 F.2d 844, 849 (1982). Moreover, the fact that the court had before it exhibits outside the pleadings
21 can constitute constructive notice. *See Grove*, 753 F.2d at 1533 (holding that notice is given "when a party
22 has reason to know that the court will consider matters outside the pleadings").

23 In this case, defendant stated in its motion that the motion would be treated as a motion for
24 summary judgment. Plaintiffs filed a response to the motion. The motion is therefore considered to be a
25 motion for summary judgment under Fed.R.Civ.P. 56.

26 Summary judgment is proper only if the pleadings, depositions, answers to interrogatories, and
27 admissions on file, together with the affidavits, if any, show that there is no genuine issue as to any material
28 fact and the moving party is entitled to judgment as a matter of law. Fed.R.Civ.P. 56(c). The moving party
is entitled to judgment as a matter of law when the nonmoving party fails to make a sufficient showing on
an essential element of a claim in the case on which the nonmoving party has the burden of proof. *Celotex*
Corp. v. Catrett, 477 U.S. 317, 323 (1985). There is no genuine issue of fact for trial where the record,

1 taken as a whole, could not lead a rational trier of fact to find for the non moving party. *Matsushita Elec.*
 2 *Indus. Co. v. Zenith Radio Corp.*, 475 U.S. 574, 586 (1986)(nonmoving party must present specific,
 3 significant probative evidence, not simply “some metaphysical doubt.”). *See also* Fed.R.Civ.P. 56(e).
 4 Conversely, a genuine dispute over a material fact exists if there is sufficient evidence supporting the
 5 claimed factual dispute, requiring a judge or jury to resolve the differing versions of the truth. *Anderson v.*
 6 *Liberty Lobby, Inc.*, 477 U.S. 242, 253 (1986); *T.W. Elec. Service Inc. v. Pacific Electrical Contractors*
 7 *Association*, 809 F.2d 626, 630 (9th Cir. 1987).

8 The determination of the existence of a material fact is often a close question. The court must
 9 consider the substantive evidentiary burden that the nonmoving party must meet at trial – e.g., a
 10 preponderance of the evidence in most civil cases. *Anderson*, 477 U.S. at 254, *T.W. Elect. Service Inc.*,
 11 809 F.2d at 630. The court must resolve any factual issues of controversy in favor of the nonmoving party
 12 only when the facts specifically attested by that party contradict facts specifically attested by the moving
 13 party. The nonmoving party may not merely state that it will discredit the moving party’s evidence at trial,
 14 in the hopes that evidence can be developed at trial to support the claim. *T.W. Elect. Service Inc.*, 809
 15 F.2d at 630 (relying on *Anderson, supra*). Conclusory, non specific statements in affidavits are not
 16 sufficient, and “missing facts” will not be “presumed.” *Lujan v. National Wildlife Federation*, 497 U.S.
 17 871, 888-89 (1990).

18 DISCUSSION

19 It is undisputed that the last day to file this civil action was three years from September 23, 2003,
 20 the date of the incident, and that, because September 23, 2006, was a Saturday, September 25, 2006, was
 21 the last day for filing suit within the limitations period. *See* RCW 4.16.080(2); *Nelson v. Schubert*, 98
 22 Wn.App. 754, 758-59 (2000); Washington CR 6(a). The unsigned complaint was filed in Grays Harbor
 23 Superior Court on September 25, 2006.

24 **1. Unsigned Complaint.** Defendant claims that the action was not properly commenced against
 25 any defendant within the statute of limitations period because the complaint was unsigned. Accordingly,
 26 defendant contends that the case should be dismissed as barred by the statute of limitations. Plaintiffs
 27 request that they be permitted to file an amended complaint that includes the proper verification.

28 Under Washington CR 15(a), a party may amend a pleading once at any time before a responsive

1 pleading is served; thereafter, a pleading may be amended only by leave of the court or by written consent
2 from the adverse party. Leave to amend must be freely given when justice so requires, unless the
3 amendment would result in prejudice to the nonmoving party. Washington CR 15(a); *Kirkham v. Smith*,
4 106 Wn.App. 177, 181 (2001). Because a motion to amend the pleadings would now be considered to
5 have been filed after the statute of limitations had run, any amended pleading allowed would be untimely
6 unless it related back to the date of the original pleading. Washington CR 15(c).

7 The rules regarding amendment of pleadings serve to facilitate proper decisions on the merits, to
8 provide parties with adequate notice of the basis for claims and defenses asserted against them, and to
9 allow amendment of the pleadings except where amendment would result in prejudice to the opposing
10 party. *Caruso v. Local Union No. 690*, 100 Wash.2d 343, 349,(1983); *Herron v. Tribune Publ'g Co.*, 108
11 Wash.2d 162, 165 (1987). In determining whether an amendment would cause prejudice, the court should
12 consider undue delay, unfair surprise, the introduction of remote issues, and possible jury confusion. *Wilson*
13 *v. Horsley*, 137 Wn.2d 500, 505-06 (1999); *Kirkham v. Smith*, 106 Wn.App. at 181.

14 Washington does not treat inadequate pleadings as defects depriving the court of jurisdiction. *See*
15 *Greene v. Union Pac. Stages*, 182 Wn.143 (1935)(while motion to strike is proper when complaint is not
16 verified, defectively verified complaint can be amended at trial, since defective verification does not affect
17 merits); *Beal v. City of Seattle*, 134 Wn.2d 769, 783 (1998) (change in representative capacity of person
18 bringing suit relates back to original filing, regardless of whether original failure to name proper plaintiff
19 arose from inexcusable neglect, provided there is no prejudice to defendant). *See also Lind v. Frick*, 15
20 Wn.App. 614, 617 (1976)(“Modern rules of procedure are intended to allow the court to reach the merits,
21 as opposed to disposition on technical niceties.”). *See also Board of Trustees of the Leland Stanford*
22 *Junior University v. Superior Court of Santa Clara County*, 2007 WL 1139455, *5 (Cal.App. 6
23 Dist.)(signature defect is an irregularity rather than a nullity, and may be cured by amendment).

24 This approach is also consistent with Washington civil rules of procedure. Washington CR 7(a)
25 recognizes that a “complaint” is a “pleading.” Washington CR 11(a) provides that “[i]f a pleading, motion,
26 or legal memorandum is not signed, it shall be stricken unless it is signed promptly after the omission is
27 called to the attention of the pleader or movant.” The Washington rule is in accord with Fed.R.Civ.P.11(a),
28 which provides that “[a]n unsigned paper shall be stricken unless omission of the signature is corrected

1 promptly after being called to the attention of the attorney or party.”

2 Although the complaint in this case was unsigned when it was filed on September 25, 2006,
3 plaintiffs did attempt to correct this deficiency, after counsel was informed on November 8, 2006 that the
4 complaint was unsigned, by filing a signed amended complaint on November 21, 2006. That amended
5 complaint was filed in Grays Harbor Superior Court after the case had been removed to federal court.
6 Now, apparently recognizing that the original complaint was unsigned, and also apparently recognizing that
7 the attempt to file an amended complaint in state court after the case had been removed to federal court did
8 not comply with proper procedure, plaintiffs have requested that they be permitted to file an amended
9 complaint that is properly verified. The signature on the complaint is a technical defect, and plaintiffs
10 should be permitted to correct the defect by filing a signed amended complaint.

11 **2. Service on ARAMARK Corporation Within Limitations Period.** Defendant argues that
12 plaintiffs did not properly serve the summons and complaint within the time period set forth in RCW
13 4.16.170 for tolling a statute of limitations.

14 In Washington, the statute of limitations for tort claims is three years. RCW 4.16.080(2). If a
15 complaint is filed before the summons is served, the action is deemed to have commenced the day the
16 complaint is filed. RCW 4.16.170. In such situations, a plaintiff must serve the summons within 90 days of
17 the filing of the complaint. *Id.* If the plaintiff fails to do so, “the action shall be deemed to not have been
18 commenced for purposes of tolling the statute of limitations.” *Id.*

19 In this case, assuming that the complaint was properly filed, the action was commenced on
20 September 25, 2006. Pursuant to RCW 4.16.170, plaintiff had ninety days after filing the complaint to
21 properly serve the summons and complaint. Defendant argues that plaintiff did not properly serve the
22 summons and complaint on the correct defendant (because ARAMARK Corporation was not the correct
23 defendant) within ninety days of filing the complaint.

24 The complaint served on CT Corporation on November 2, 2006 was not identical to the complaint
25 filed on September 25, 2006, because the case number on the November 2, 2006 document had been typed
26 in and the complaint was signed. The signature on the complaint served on CT Corporation on November
27 2, 2006, does not appear to match the signature on the “conformed copy” that plaintiff maintains he
28 intended to file as the original on September 25, 2006. See Dkt. 14, at 13, and Dkt. 11, at 18. It appears

1 that the complaint served on CT Corporation on November 2, 2006 was not identical to the complaint filed
2 in Grays Harbor Superior Court on September 25, 2006. The discrepancies, however, appear to relate
3 more to form (typed case number and signature) than the substance of the complaint.

4 Neither the complaint nor the summons served with the complaint on November 2, 2006, named as
5 defendant Aramark Sports & Entertainment Services, Inc., which ARAMARK Corporation maintains
6 would be the proper defendant. The record is confusing as to the relationship of ARAMARK Corporation,
7 Aramark Sports & Entertainment Services, Inc., and Aramark Sports and Entertainment Group, and
8 whether service of the original complaint was proper. CT Corporation is authorized to accept service of
9 process, apparently on behalf of Aramark Sports & Entertainment Services, Inc., but not on behalf of
10 ARAMARK Corporation. CT Corporation apparently accepted service of process of the complaint on
11 November 2, 2006. That summons and complaint was apparently received by some entity and then
12 forwarded to Mr. Huber, who is one of ARAMARK Corporation's attorneys; Mr. Huber does not indicate
13 what relationship he, as one of ARAMARK Corporation's attorneys, has with Aramark Sports &
14 Entertainment Services, Inc., or with Aramark Sports and Entertainment Group. It is curious that the
15 named defendant, ARAMARK Corporation, requests that the court dismiss the claims against "Any
16 Defendant" as barred by the statute of limitations; it is unclear whether Mr. Huber represents ARAMARK
17 Corporation alone, and/or whether he represents Aramark Sports & Entertainment Services, Inc., and/or
18 whether he represents Aramark Sports and Entertainment Group. Mr. Reitmeyer stated that he is the Vice
19 President and Associate General Counsel for Risk Management, Antitrust and Litigation in "the Aramark
20 Legal Department"; it is unclear what the relationship of ARAMARK Corporation, Aramark Sports &
21 Entertainment Services, Inc., Aramark Sports and Entertainment Group, and Aramark Legal Department
22 is, at least for the purposes of service of process. To complicate matters further, in the answer to the
23 complaint, ARAMARK Corporation admitted that it operates Lake Quinault Lodge.

24 Based upon the record before the court, defendant has not shown that service of the summons and
25 complaint on November 2, 2006 was inadequate, at least as to ARAMARK Corporation. Accordingly, on
26 the record before the court, it appears that plaintiffs served the complaint within the 90 day period
27 authorized by RCW 4.16.170.

28 **3. Amendment of Complaint to name Aramark Sports & Entertainment Services, Inc. as**

1 **Defendant.** Plaintiffs submitted documents that, on November, 21, 2006, they filed a signed amended
2 complaint in Grays Harbor Superior Court, naming “ARAMARK SPORTS AND ENTERTAINMENT
3 SERVICES, INC., a wholly owned subsidiary of ARAMARK CORPORATION. a Delaware Corporation”
4 as the defendant. Dkt. 14, at 17. The record shows that, on November 22, 2006, the summons and
5 amended complaint were served on Aramark Sports and Entertainment Services Group (Dkt. 14, at 4) and
6 ARAMARK Sports and Entertainment Group, Inc. (Dkt. 14-2, at 5); neither of these entities is named in
7 the caption of the amended complaint. The summons and the amended complaint were filed within ninety
8 days from the date of filing the original complaint on September 25, 2006. They were not, however, filed
9 in the proper court. By the time plaintiffs filed their amended complaint on November 21, 2006, the case
10 had been removed to federal court. The amended complaint has not been properly filed in this court.
11 Further, because an answer has been filed, plaintiffs would require leave of the court to file an amended
12 complaint.

13 In their response to ARAMARK Corporation’s motion for judgment on the pleadings, plaintiffs
14 request that the court permit them to file an amended complaint, naming the proper defendant.

15 Defendant maintains that, if the court were to permit plaintiffs to file an amended complaint naming
16 Aramark Sports & Entertainment Services, Inc., it would be prejudiced because it has not had the
17 opportunity to conduct discovery regarding the purported service of the amended summons and amended
18 complaint on November 22, 2007. Moreover, defendant contends that the error in naming the defendant
19 was due to inexcusable neglect, since the name of the operator of Lake Quinault Lodge was easily
20 obtainable through public records, and in fact, plaintiffs had the name of the proper defendant as early as
21 March 15, 2004, when plaintiffs’ counsel received the letter from Specialty Risk Services LLC.

22 An amended complaint will be barred by the statute of limitations unless it “relates back” to the
23 original complaint’s filing date. Fed.R.Civ.P. 15(c)(3) allows the date of an amended complaint to “relate
24 back” to the date of the original complaint’s filing date if four prerequisites are met.; *Schiavone v. Fortune*,
25 477 U.S. 21, 29 (1986); *see also Wilke v. Bob’s Route 53 Shell Station*, 36 F.Supp.2d 1068, 1072
26 (N.D.Ill.1999); *Worthington v. Wilson*, 8 F.3d 1253, 1255-56 (7th Cir.1993). An amendment changing or
27 adding a party will relate back if (1) the claim asserted in the amended pleading arose out of the conduct,
28 transaction, or occurrence set out in the original pleading; (2) within the statute of limitations, the party

1 added in the amendment received notice of the action and will not be prejudiced in maintaining a defense;
2 and (3) within the statute of limitations, the party added knew or should have known that, but for a
3 mistake, the action would have been brought against him or her. *See* Fed.R.Civ.P. 15(c)(2) and (3), and
4 Washington CR 15(c). Washington courts have added another requirement when a plaintiff attempts to add
5 a defendant by amendment: the moving party must also show that its failure to name the party was by
6 excusable neglect. *Bunko v. City of Puyallup Civil Serv. Comm'n*, 95 Wn.App. 495, 500, 975 P.2d 1055
7 (1999) (citing *Haberman v. Wash. Pub. Power Supply Sys.*, 109 Wn.2d 107, 174, 744 P.2d 1032, 750 P.2d
8 254 (1987)). Generally, Washington courts have applied inexcusable neglect as a bar to Washington CR
9 15(c) amendments only in those cases where the plaintiff seeks to join additional defendants. *See Nepstad v.*
10 *Beasley*, 77 Wn.App. 459, 467(1995). When a plaintiff seeks a substitution to correct the mistaken identity
11 of the defendant, inexcusable neglect should not work as an absolute bar to amendment. *Id.* at 467-68.
12 However, because the decision to grant or deny a Washington CR 15(c) motion to amend is discretionary,
13 the court may consider inexcusable neglect as an appropriate factor in such a case. *Id.* at 468.

14 The purpose of Washington CR 15(c) is to allow amendment of the pleadings provided the
15 defendant has notice and is not prejudiced. *Beal for Martinez v. City of Seattle*, 134 Wn.2d 769, 782
16 (1998). *See DeSantis v. Angelo Merlino & Sons, Inc.*, 71 Wn.2d 222, 224 (1967) (amendment to change
17 the name of the defendant from a proprietorship to a corporation was proper); *Craig v. Ludy*, 95 Wn.App.
18 715 (1999)(amendment substituting estate as defendant related back to date of filing complaint). See also
19 *Ingram v. Kumar*, 585 F.2d 566, 570-71 (2d Cir.1978) (amendment based on a misnomer should be
20 allowed if the defendants knew about the claim and that the plaintiff intended to assert it against them);
21 *Rylewicz v. Beaton Services, Ltd.*, 698 F.Supp. 1391, 1399 (N.D.Ill.1988) (relation back generally allowed
22 to correct a misnomer, e.g., when a partnership is erroneously sued as a corporation), *aff'd*, 888 F.2d 1175
23 (7th Cir.1989)

24 The court recognizes that, throughout these proceedings, plaintiffs have consistently been imprecise
25 in naming the defendant(s) they wish to sue. In this case, because there are apparently at least three entities
26 that have been referred to by plaintiffs, and because the names of the different entities are similar, the
27 record is confusing.

28 It appears from the record that plaintiff intends to file in this removal action the amended complaint

1 he filed in state court on November 21, 2006, and that has been attached to plaintiffs' counsel's declaration
2 as Exhibit B. Dkt. 14, at 17-20, and Dkt. 14-2, at 3. The amended complaint involves claims that arose
3 out of the conduct, transaction, or occurrence set out in the original complaint filed in state court on
4 September 25, 2006. To the extent the court can determine, Aramark Sports & Entertainment Services,
5 Inc. received notice of the action on November 2, 2006 and/or November 22, 2006, within the statute of
6 limitations period. Aramark Sports & Entertainment Services, Inc. should not be prejudiced in maintaining
7 a defense, since this action is at the early stage of proceedings. To the extent that the court can determine,
8 Aramark Sports & Entertainment Services, Inc. was aware within the statute of limitations that, but for
9 plaintiffs' error in the name of the defendant, the action would have been brought against it; at least, this
10 entity has not stated that it was unaware of the action. While it appears now, from the public record and
11 from the letter sent to plaintiff by Specialty Risk Services, LLC, on March 15, 2004, that the proper
12 defendant in this case should be Aramark Sports & Entertainment Services, Inc., there was at least some
13 confusion as to the identity of the proper defendant. CT Corporation apparently accepted service of the
14 complaint, which named ARAMARK Corporation as the defendant, on November 2, 2006. CT apparently
15 accepted service of the amended complaint on November 22, 2006, when the summons and complaint had
16 varying names in the caption. There was, therefore, at least some excusable neglect on the part of plaintiffs
17 with regard to the naming of the proper defendant. This is not to condone plaintiffs' imprecise and
18 confusing mixing up of names of the several entities that have been referred to throughout these
19 proceedings. The court should permit plaintiffs to file an amended complaint, naming the proper
20 defendant(s).

21 The court recognizes that Aramark Sports & Entertainment Services, Inc. has neither been named
22 nor appeared in this matter. Should plaintiffs file an appropriate signed amended complaint, naming
23 Aramark Sports & Entertainment Services, Inc., this defendant is not precluded from raising the statute of
24 limitations issue, or any other legal issues, on its own behalf.

25 **4. Compliance With Procedural Rules.** The court notes that plaintiffs' counsel does not appear
26 to be familiar with the procedural rules that govern these proceedings. The response to defendant's motion
27 was not timely filed, as is required by Local Rule CR 7. Moreover, the response includes an attempt to
28 change the caption of the case to reflect a defendant other than the named defendant. No motion has been


1 made to amend the caption. Plaintiffs' counsel is hereby **NOTIFIED** that failure to comply in the future
2 with the Federal Rules of Civil Procedure and/or the Local Rules for the Western District of Washington
3 may result in sanctions under Local Rule GR 3; sanctions may include monetary sanctions and/or dismissal
4 of the case.

5 Defendant requests that the court strike plaintiffs' untimely response. Dkt. 16. It does not appear
6 that defendant has been prejudiced by the late reply. Furthermore, the court expects plaintiffs to comply
7 with the procedural rules in the future. The motion to strike should be denied.

8
9 Therefore, it is hereby **ORDERED** that Defendant ARAMARK Corp.'s Motion for Judgment on
10 the Pleadings (Dkt. 10) is **DENIED**. Defendant's motion to strike plaintiffs' response (Dkt. 16) is
11 **DENIED**. Not later than May 11, 2007, plaintiffs are **ORDERED** to file an amended complaint, properly
12 verified, naming the defendant or defendants plaintiffs are suing. If plaintiffs fail to comply with this order,
13 the court will dismiss this case.

14 The Clerk is directed to send uncertified copies of this Order to all counsel of record and to any
15 party appearing *pro se* at said party's last known address.

16 DATED this 26th day of April, 2007.

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19 ROBERT J. BRYAN
20 United States District Judge
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